

ERRAZ Compliance

Who We Are

Erraz Compliance provides scalable and customized solutions to every client's specific compliance needs, large or small.

Comprised of expert-level AML officers with experience at the highest levels of the financial industry, the Erraz team executes programs in every procedural phase and in any part of the world to comply with ever-changing regulations.

Client Focused
customized solutions to meet any Compliance needs

Competitive Pricing
without compromising quality

High Quality Consultants
with diverse industry experience

Agile Team Augmentation
can scope and scale to any project or program needs



Our Focus

2nd Line of Defense

- Provide subject-matter expertise on AML Policy & Procedures Execute
- Execute Transaction monitoring, Enhanced Due Diligence and Sanction/PEP reviews
- Create Metrics and KPIs to ensure success of Target Operating Model

1st Line of Defense

- Execution of Client Onboarding and Quality Control daily operational services
- Scale to the size of the task and execute for client's daily operations
- Expertise in KYC/AML Case remediation programs

Case Studies

- Largest US-based multinational bank - Remediation of Suspicious Activity Reporting (SARs)
- European multinational investment bank - Remediation of Mexico based High risk Customer portfolio
- Large State owned commercial bank (NY Branch) - Developed & executed comprehensive PEP Framework
- Largest Japanese bank with US bank and branch - Consent order First line Onboarding and QC execution

Erraz's Core Team



Carlos Zuniga, *Founder*

+15 years experience

Founded Erraz Compliance in 2015

Carlos leads Erraz's efforts to develop and deepen services provided to our Clients.

Carlos is also an advisor in multiple ventures in e-commerce, real estate and hospitality.



Juan Esteban Sanchez Caballero, *Vice President*

+10 years experience

Juan holds an Associate's degree in Business Administration from ITM. He has worked at CitiGroup, JPMorgan, UBS, and other leading institutions, accumulating over 10 years of experience in the financial industry—both domestically and internationally.



Felix Adeyeye, *Vice President*

+7 years experience

Graduated from Otterbein University with a B.S. in Physics & Math, Felix is a proven leader and problem solver providing end to end solutions for our clients.

Felix is an avid Football fan, having played in all three leagues, the AFL, CFL and NFL.



Ken Lo, *Principal Advisor*

+20 years experience

Extensive international experience in creating and executing First Line Control and Transformation programs in several leading financial organizations. Having worked in London, Tokyo, Hong Kong and New York, Ken thrives in fast paced, dynamic and changing environments.

Ken also consults for non profits and is the Co-founder of an EdTech start up.

Erraz consultants are proud to have served:

J.P.Morgan



MUFG



CHASE



中國銀行
BANK OF CHINA



UBS



Goldman
Sachs



Oriental

ERRAZ

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